



# UNITED STATES PATENT AND TRADEMARK OFFICE

H.B  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,165	09/19/2001	Christoph Cornelius Micheal	CIG-105

**CONFIRMATION NO. 8323**

**FORMALITIES LETTER**



\*OC00000006927387\*

Date Mailed: 10/18/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

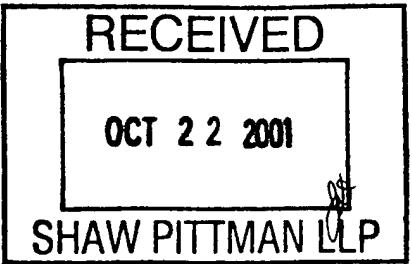
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DUE Completion of Appl  
DATE 12/18/01  
DOCKETED 10/26/01

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*Patent*

O I P E CIG-105

*SECTOR 3*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the Application of:

MICHAEL

Serial No.: 09/955,165 ✓

Art Unit: 2184

Filed: September 19, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR  
MINING EXECUTION TRACES  
WITH FINITE AUTOMATA

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(b) - FILING DATE GRANTED**

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non Provisional Application Filed Under 37 C.F.R. 1.53(b) mailed October 18, 2001, copy attached, applicants are submitting herewith:

- Declaration and Power of Attorney for Patent Application signed by Applicant;
- \_\_\_\_\_ sheets of drawings in compliance with applicable regulations;
- Other: \_\_\_\_\_;
- Check in the amount of \_\_\_\_\_ to cover the required fees for submission of these documents;
- The Commissioner is hereby authorized to charge the amount of \$65 to Deposit Account 50-1390 to cover the required fees for submission of these documents, and a copy of this authorization is attached.

Serial No.: 09/955,165  
Art Unit: 2184

Attorney's Docket No.: CIG-105  
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

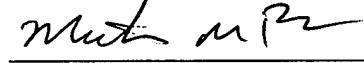
SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7661

Date: November 16, 2001

Respectfully submitted,

MICHAEL

By:

  
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